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informatik

# Automated Reasoning

**Christoph Weidenbach**

**Max Planck Institute for Informatics**

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# Automated Reasoning

Given a specification of a system, develop technology

logics,  
calculi,  
algorithms,  
implementations,

to automatically execute the specification and to automatically prove properties of the specification.



# Concept

**Slides:** Definitions, Lemmas, Theorems, ...

**Written:** Examples, Proofs, ...

**Speech:** Motivate, Explain, ...

**Script:** Slides, partially Blackboard ...

**Exams:** able to calculate  $\rightarrow$  pass  
understand  $\rightarrow$  (very) good grade



# Orderings

## 1.4.1 Definition (Orderings)

A (*partial*) *ordering*  $\succeq$  (or simply ordering) on a set  $M$ , denoted  $(M, \succeq)$ , is a reflexive, antisymmetric, and transitive binary relation on  $M$ .

It is a *total ordering* if it also satisfies the totality property.

A *strict (partial) ordering*  $\succ$  is a transitive and irreflexive binary relation on  $M$ .

A strict ordering is *well-founded*, if there is no infinite descending chain  $m_0 \succ m_1 \succ m_2 \succ \dots$  where  $m_i \in M$ .

### 1.4.3 Definition (Minimal and Smallest Elements)

Given a strict ordering  $(M, \succ)$ , an element  $m \in M$  is called *minimal*, if there is no element  $m' \in M$  so that  $m \succ m'$ .

An element  $m \in M$  is called *smallest*, if  $m' \succ m$  for all  $m' \in M$  different from  $m$ .

# Multisets

Given a set  $M$ , a *multiset*  $S$  over  $M$  is a mapping  $S: M \rightarrow \mathbb{N}$ , where  $S$  specifies the number of occurrences of elements  $m$  of the base set  $M$  within the multiset  $S$ . I use the standard set notations  $\in, \subset, \subseteq, \cup, \cap$  with the analogous meaning for multisets, for example  $(S_1 \cup S_2)(m) = S_1(m) + S_2(m)$ .

A multiset  $S$  over a set  $M$  is *finite* if  $\{m \in M \mid S(m) > 0\}$  is finite. For the purpose of this lecture I only consider finite multisets.



## 1.4.5 Definition (Lexicographic and Multiset Ordering Extensions)

Let  $(M_1, \succ_1)$  and  $(M_2, \succ_2)$  be two strict orderings.

Their *lexicographic combination*  $\succ_{\text{lex}} = (\succ_1, \succ_2)$  on  $M_1 \times M_2$  is defined as  $(m_1, m_2) \succ (m'_1, m'_2)$  iff  $m_1 \succ_1 m'_1$  or  $m_1 = m'_1$  and  $m_2 \succ_2 m'_2$ .

Let  $(M, \succ)$  be a strict ordering.

The *multiset extension*  $\succ_{\text{mul}}$  to multisets over  $M$  is defined by  $S_1 \succ_{\text{mul}} S_2$  iff  $S_1 \neq S_2$  and  $\forall m \in M [S_2(m) > S_1(m) \rightarrow \exists m' \in M (m' \succ m \wedge S_1(m') > S_2(m'))]$ .

### 1.4.7 Proposition (Properties of $\succ_{\text{lex}}, \succ_{\text{mul}}$ )

Let  $(M, \succ)$ ,  $(M_1, \succ_1)$ , and  $(M_2, \succ_2)$  be orderings. Then

1.  $\succ_{\text{lex}}$  is an ordering on  $M_1 \times M_2$ .
2. if  $(M_1, \succ_1)$ ,  $(M_2, \succ_2)$  are well-founded so is  $\succ_{\text{lex}}$ .
3. if  $(M_1, \succ_1)$ ,  $(M_2, \succ_2)$  are total so is  $\succ_{\text{lex}}$ .
4.  $\succ_{\text{mul}}$  is an ordering on multisets over  $M$ .
5. if  $(M, \succ)$  is well-founded so is  $\succ_{\text{mul}}$ .
6. if  $(M, \succ)$  is total so is  $\succ_{\text{mul}}$ .

Please recall that multisets are finite.



# Induction

## Theorem (Noetherian Induction)

Let  $(M, \succ)$  be a well-founded ordering, and let  $Q$  be a predicate over elements of  $M$ . If for all  $m \in M$  the implication

if  $Q(m')$ , for all  $m' \in M$  so that  $m \succ m'$ , (induction hypothesis)  
then  $Q(m)$ . (induction step)

is satisfied, then the property  $Q(m)$  holds for all  $m \in M$ .

# Abstract Rewrite Systems

## 1.6.1 Definition (Rewrite System)

A *rewrite system* is a pair  $(M, \rightarrow)$ , where  $M$  is a non-empty set and  $\rightarrow \subseteq M \times M$  is a binary relation on  $M$ .

$\rightarrow^0$	$= \{ (a, a) \mid a \in M \}$	<i>identity</i>
$\rightarrow^{i+1}$	$= \rightarrow^i \circ \rightarrow$	<i><math>i + 1</math>-fold composition</i>
$\rightarrow^+$	$= \bigcup_{i > 0} \rightarrow^i$	<i>transitive closure</i>
$\rightarrow^*$	$= \bigcup_{i \geq 0} \rightarrow^i = \rightarrow^+ \cup \rightarrow^0$	<i>reflexive transitive closure</i>
$\rightarrow^=$	$= \rightarrow \cup \rightarrow^0$	<i>reflexive closure</i>
$\rightarrow^{-1}$	$= \leftarrow = \{ (b, c) \mid c \rightarrow b \}$	<i>inverse</i>
$\leftrightarrow$	$= \rightarrow \cup \leftarrow$	<i>symmetric closure</i>
$\leftrightarrow^+$	$= (\leftrightarrow)^+$	<i>transitive symmetric closure</i>
$\leftrightarrow^*$	$= (\leftrightarrow)^*$	<i>refl. trans. symmetric closure</i>



## 1.6.2 Definition (Reducible)

Let  $(M, \rightarrow)$  be a rewrite system. An element  $a \in M$  is *reducible*, if there is a  $b \in M$  such that  $a \rightarrow b$ .

An element  $a \in M$  is *in normal form (irreducible)*, if it is not reducible.

An element  $c \in M$  is a *normal form* of  $b$ , if  $b \rightarrow^* c$  and  $c$  is in normal form, denoted by  $c = b \downarrow$ .

Two elements  $b$  and  $c$  are *joinable*, if there is an  $a$  so that  $b \rightarrow^* a \leftarrow^* c$ , denoted by  $b \downarrow c$ .

### 1.6.3 Definition (Properties of $\rightarrow$ )

A relation  $\rightarrow$  is called

<i>Church-Rosser</i>	if $b \leftrightarrow^* c$ implies $b \downarrow c$
<i>confluent</i>	if $b \xrightarrow{*} a \rightarrow^* c$ implies $b \downarrow c$
<i>locally confluent</i>	if $b \leftarrow a \rightarrow c$ implies $b \downarrow c$
<i>terminating</i>	if there is no infinite descending chain $b_0 \rightarrow b_1 \rightarrow b_2 \dots$
<i>normalizing</i>	if every $b \in A$ has a normal form
<i>convergent</i>	if it is confluent and terminating

## 1.6.4 Lemma (Termination vs. Normalization)

If  $\rightarrow$  is terminating, then it is normalizing.

## 1.6.5 Theorem (Church-Rosser vs. Confluence)

The following properties are equivalent for any  $(M, \rightarrow)$ :

- (i)  $\rightarrow$  has the Church-Rosser property.
- (ii)  $\rightarrow$  is confluent.

## 1.6.6 Lemma (Newman's Lemma)

Let  $(M, \rightarrow)$  be a terminating rewrite system. Then the following properties are equivalent:

- (i)  $\rightarrow$  is confluent
- (ii)  $\rightarrow$  is locally confluent

# LA Equations Rewrite System

$M$  is the set of all LA equations sets  $N$  over  $\mathbb{Q}$

$\doteq$  includes normalizing the equation

**Eliminate**  $\{x \doteq s, x \doteq t\} \uplus N \Rightarrow_{\text{LAE}} \{x \doteq s, x \doteq t, s \doteq t\} \cup N$   
 provided  $s \neq t$ , and  $s \doteq t \notin N$

**Fail**  $\{q_1 \doteq q_2\} \uplus N \Rightarrow_{\text{LAE}} \emptyset$   
 provided  $q_1, q_2 \in \mathbb{Q}$ ,  $q_1 \neq q_2$

# LAE Redundancy

**Subsume**      $\{s \doteq t, s' \doteq t'\} \uplus N \Rightarrow_{\text{LAE}} \{s \doteq t\} \cup N$   
provided  $s \doteq t$  and  $qs' \doteq qt'$  are identical for some  $q \in \mathbb{Q}$

# Rewrite Systems on Logics: Calculi

	Validity	Satisfiability
Sound	If the calculus derives a proof of validity for the formula, it is valid.	If the calculus derives satisfiability of the formula, it has a model.
Complete	If the formula is valid, a proof of validity is derivable by the calculus.	If the formula has a model, the calculus derives satisfiability.
Strongly Complete	For any validity proof of the formula, there is a derivation in the calculus producing this proof.	For any model of the formula, there is a derivation in the calculus producing this model.





# Propositional Logic: Syntax

## 2.1.1 Definition (Propositional Formula)

The set  $\text{PROP}(\Sigma)$  of *propositional formulas* over a signature  $\Sigma$ , is inductively defined by:

$\text{PROP}(\Sigma)$	Comment
$\perp$	connective $\perp$ denotes “false”
$\top$	connective $\top$ denotes “true”
$P$	for any propositional variable $P \in \Sigma$
$(\neg\phi)$	connective $\neg$ denotes “negation”
$(\phi \wedge \psi)$	connective $\wedge$ denotes “conjunction”
$(\phi \vee \psi)$	connective $\vee$ denotes “disjunction”
$(\phi \rightarrow \psi)$	connective $\rightarrow$ denotes “implication”
$(\phi \leftrightarrow \psi)$	connective $\leftrightarrow$ denotes “equivalence”

where  $\phi, \psi \in \text{PROP}(\Sigma)$ .

# Propositional Logic: Semantics

## 2.2.1 Definition ((Partial) Valuation)

A  $\Sigma$ -*valuation* is a map

$$\mathcal{A} : \Sigma \rightarrow \{0, 1\}.$$

where  $\{0, 1\}$  is the set of *truth values*. A *partial*  $\Sigma$ -*valuation* is a map  $\mathcal{A}' : \Sigma' \rightarrow \{0, 1\}$  where  $\Sigma' \subseteq \Sigma$ .

## 2.2.2 Definition (Semantics)

A  $\Sigma$ -valuation  $\mathcal{A}$  is inductively extended from propositional variables to propositional formulas  $\phi, \psi \in \text{PROP}(\Sigma)$  by

$$\mathcal{A}(\perp) := 0$$

$$\mathcal{A}(\top) := 1$$

$$\mathcal{A}(\neg\phi) := 1 - \mathcal{A}(\phi)$$

$$\mathcal{A}(\phi \wedge \psi) := \min(\{\mathcal{A}(\phi), \mathcal{A}(\psi)\})$$

$$\mathcal{A}(\phi \vee \psi) := \max(\{\mathcal{A}(\phi), \mathcal{A}(\psi)\})$$

$$\mathcal{A}(\phi \rightarrow \psi) := \max(\{1 - \mathcal{A}(\phi), \mathcal{A}(\psi)\})$$

$$\mathcal{A}(\phi \leftrightarrow \psi) := \text{if } \mathcal{A}(\phi) = \mathcal{A}(\psi) \text{ then } 1 \text{ else } 0$$



If  $\mathcal{A}(\phi) = 1$  for some  $\Sigma$ -valuation  $\mathcal{A}$  of a formula  $\phi$  then  $\phi$  is *satisfiable* and we write  $\mathcal{A} \models \phi$ . In this case  $\mathcal{A}$  is a *model* of  $\phi$ .

If  $\mathcal{A}(\phi) = 1$  for all  $\Sigma$ -valuations  $\mathcal{A}$  of a formula  $\phi$  then  $\phi$  is *valid* and we write  $\models \phi$ .

If there is no  $\Sigma$ -valuation  $\mathcal{A}$  for a formula  $\phi$  where  $\mathcal{A}(\phi) = 1$  we say  $\phi$  is *unsatisfiable*.

A formula  $\phi$  *entails*  $\psi$ , written  $\phi \models \psi$ , if for all  $\Sigma$ -valuations  $\mathcal{A}$  whenever  $\mathcal{A} \models \phi$  then  $\mathcal{A} \models \psi$ .



# Propositional Logic: Operations

## 2.1.2 Definition (Atom, Literal, Clause)

A propositional variable  $P$  is called an *atom*. It is also called a (*positive*) *literal* and its negation  $\neg P$  is called a (*negative*) *literal*.

The functions  $\text{comp}$  and  $\text{atom}$  map a literal to its complement, or atom, respectively: if  $\text{comp}(\neg P) = P$  and  $\text{comp}(P) = \neg P$ ,  $\text{atom}(\neg P) = P$  and  $\text{atom}(P) = P$  for all  $P \in \Sigma$ . Literals are denoted by letters  $L, K$ . Two literals  $P$  and  $\neg P$  are called *complementary*.

A disjunction of literals  $L_1 \vee \dots \vee L_n$  is called a *clause*. A clause is identified with the multiset of its literals.

## 2.1.3 Definition (Position)

A *position* is a word over  $\mathbb{N}$ . The set of positions of a formula  $\phi$  is inductively defined by

$$\begin{aligned} \text{pos}(\phi) &:= \{\epsilon\} \text{ if } \phi \in \{\top, \perp\} \text{ or } \phi \in \Sigma \\ \text{pos}(\neg\phi) &:= \{\epsilon\} \cup \{1p \mid p \in \text{pos}(\phi)\} \\ \text{pos}(\phi \circ \psi) &:= \{\epsilon\} \cup \{1p \mid p \in \text{pos}(\phi)\} \cup \{2p \mid p \in \text{pos}(\psi)\} \end{aligned}$$

where  $\circ \in \{\wedge, \vee, \rightarrow, \leftrightarrow\}$ .



The prefix order  $\leq$  on positions is defined by  $p \leq q$  if there is some  $p'$  such that  $pp' = q$ . Note that the prefix order is partial, e.g., the positions 12 and 21 are not comparable, they are “parallel”, see below.

The relation  $<$  is the strict part of  $\leq$ , i.e.,  $p < q$  if  $p \leq q$  but not  $q \leq p$ .

The relation  $\parallel$  denotes incomparable, also called parallel positions, i.e.,  $p \parallel q$  if neither  $p \leq q$ , nor  $q \leq p$ .

A position  $p$  is *above*  $q$  if  $p \leq q$ ,  $p$  is *strictly above*  $q$  if  $p < q$ , and  $p$  and  $q$  are *parallel* if  $p \parallel q$ .





The *size* of a formula  $\phi$  is given by the cardinality of  $\text{pos}(\phi)$ :  
 $|\phi| := |\text{pos}(\phi)|$ .

The *subformula* of  $\phi$  at position  $p \in \text{pos}(\phi)$  is inductively defined by  $\phi|_\epsilon := \phi$ ,  $\neg\phi|_{1p} := \phi|_p$ , and  $(\phi_1 \circ \phi_2)|_{ip} := \phi_i|_p$  where  $i \in \{1, 2\}$ ,  $\circ \in \{\wedge, \vee, \rightarrow, \leftrightarrow\}$ .

Finally, the *replacement* of a subformula at position  $p \in \text{pos}(\phi)$  by a formula  $\psi$  is inductively defined by  $\phi[\psi]_\epsilon := \psi$ ,  $(\neg\phi)[\psi]_{1p} := \neg\phi[\psi]_p$ , and  $(\phi_1 \circ \phi_2)[\psi]_{ip} := (\phi_1[\psi]_p \circ \phi_2)$ ,  $(\phi_1 \circ \phi_2)[\psi]_{2p} := (\phi_1 \circ \phi_2[\psi]_p)$ , where  $\circ \in \{\wedge, \vee, \rightarrow, \leftrightarrow\}$ .







## 2.1.5 Definition (Polarity)

The *polarity* of the subformula  $\phi|_p$  of  $\phi$  at position  $p \in \text{pos}(\phi)$  is inductively defined by

$$\begin{aligned}
 \text{pol}(\phi, \epsilon) &:= 1 \\
 \text{pol}(\neg\phi, 1p) &:= -\text{pol}(\phi, p) \\
 \text{pol}(\phi_1 \circ \phi_2, ip) &:= \text{pol}(\phi_i, p) \quad \text{if } \circ \in \{\wedge, \vee\}, i \in \{1, 2\} \\
 \text{pol}(\phi_1 \rightarrow \phi_2, 1p) &:= -\text{pol}(\phi_1, p) \\
 \text{pol}(\phi_1 \rightarrow \phi_2, 2p) &:= \text{pol}(\phi_2, p) \\
 \text{pol}(\phi_1 \leftrightarrow \phi_2, ip) &:= 0 \quad \text{if } i \in \{1, 2\}
 \end{aligned}$$

Valuations can be nicely represented by sets or sequences of literals that do not contain complementary literals nor duplicates.

If  $\mathcal{A}$  is a (partial) valuation of domain  $\Sigma$  then it can be represented by the set

$$\{P \mid P \in \Sigma \text{ and } \mathcal{A}(P) = 1\} \cup \{\neg P \mid P \in \Sigma \text{ and } \mathcal{A}(P) = 0\}.$$

Another, equivalent representation are *Herbrand* interpretations that are sets of positive literals, where all atoms not contained in an Herbrand interpretation are false. If  $\mathcal{A}$  is a total valuation of domain  $\Sigma$  then it corresponds to the Herbrand interpretation  $\{P \mid P \in \Sigma \text{ and } \mathcal{A}(P) = 1\}$ .



## 2.2.4 Theorem (Deduction Theorem)

$$\phi \vdash \psi \text{ iff } \vdash \phi \rightarrow \psi$$

## 2.2.6 Lemma (Formula Replacement)

Let  $\phi$  be a propositional formula containing a subformula  $\psi$  at position  $p$ , i.e.,  $\phi|_p = \psi$ . Furthermore, assume  $\models \psi \leftrightarrow \chi$ . Then  $\models \phi \leftrightarrow \phi[\chi]_p$ .

# Normal Forms

## Definition (CNF, DNF)

A formula is in *conjunctive normal form (CNF)* or *clause normal form* if it is a conjunction of disjunctions of literals, or in other words, a conjunction of clauses.

A formula is in *disjunctive normal form (DNF)*, if it is a disjunction of conjunctions of literals.

Checking the validity of CNF formulas or the unsatisfiability of DNF formulas is easy:

- (i) a formula in CNF is valid, if and only if each of its disjunctions contains a pair of complementary literals  $P$  and  $\neg P$ ,
- (ii) conversely, a formula in DNF is unsatisfiable, if and only if each of its conjunctions contains a pair of complementary literals  $P$  and  $\neg P$



# Basic CNF Transformation

**ElimEquiv**

$$\chi[(\phi \leftrightarrow \psi)]_p \Rightarrow_{\text{BCNF}} \chi[(\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)]_p$$

**ElimImp**

$$\chi[(\phi \rightarrow \psi)]_p \Rightarrow_{\text{BCNF}} \chi[(\neg\phi \vee \psi)]_p$$

**PushNeg1**

$$\chi[\neg(\phi \vee \psi)]_p \Rightarrow_{\text{BCNF}} \chi[(\neg\phi \wedge \neg\psi)]_p$$

**PushNeg2**

$$\chi[\neg(\phi \wedge \psi)]_p \Rightarrow_{\text{BCNF}} \chi[(\neg\phi \vee \neg\psi)]_p$$

**PushNeg3**

$$\chi[\neg\neg\phi]_p \Rightarrow_{\text{BCNF}} \chi[\phi]_p$$

**PushDisj**

$$\chi[(\phi_1 \wedge \phi_2) \vee \psi]_p \Rightarrow_{\text{BCNF}} \chi[(\phi_1 \vee \psi) \wedge (\phi_2 \vee \psi)]_p$$

**ElimTB1**

$$\chi[(\phi \wedge \top)]_p \Rightarrow_{\text{BCNF}} \chi[\phi]_p$$

**ElimTB2**

$$\chi[(\phi \wedge \perp)]_p \Rightarrow_{\text{BCNF}} \chi[\perp]_p$$

**ElimTB3**

$$\chi[(\phi \vee \top)]_p \Rightarrow_{\text{BCNF}} \chi[\top]_p$$

**ElimTB4**

$$\chi[(\phi \vee \perp)]_p \Rightarrow_{\text{BCNF}} \chi[\phi]_p$$

**ElimTB5**

$$\chi[\neg\perp]_p \Rightarrow_{\text{BCNF}} \chi[\top]_p$$

**ElimTB6**

$$\chi[\neg\top]_p \Rightarrow_{\text{BCNF}} \chi[\perp]_p$$

# Basic CNF Algorithm

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1 **Algorithm: 2**  $\text{bcnf}(\phi)$

**Input** : A propositional formula  $\phi$ .

**Output** A propositional formula  $\psi$  equivalent to  $\phi$  in CNF.

:

2 **whilerule** ( $\text{ElimEquiv}(\phi)$ ) **do** ;

3 ;

4 **whilerule** ( $\text{ElimImp}(\phi)$ ) **do** ;

5 ;

6 **whilerule** ( $\text{ElimTB1}(\phi), \dots, \text{ElimTB6}(\phi)$ ) **do** ;

7 ;

8 **whilerule** ( $\text{PushNeg1}(\phi), \dots, \text{PushNeg3}(\phi)$ ) **do** ;

9 ;

10 **whilerule** ( $\text{PushDisj}(\phi)$ ) **do** ;

11 ;

**return**  $\phi$ ;





# Advanced CNF Algorithm

For the formula

$$P_1 \leftrightarrow (P_2 \leftrightarrow (P_3 \leftrightarrow (\dots (P_{n-1} \leftrightarrow P_n) \dots)))$$

the basic CNF algorithm generates a CNF with  $2^{n-1}$  clauses.

## 2.5.4 Proposition (Renaming Variables)

Let  $P$  be a propositional variable not occurring in  $\psi[\phi]_p$ .

1. If  $\text{pol}(\psi, p) = 1$ , then  $\psi[\phi]_p$  is satisfiable if and only if  $\psi[P]_p \wedge (P \rightarrow \phi)$  is satisfiable.
2. If  $\text{pol}(\psi, p) = -1$ , then  $\psi[\phi]_p$  is satisfiable if and only if  $\psi[P]_p \wedge (\phi \rightarrow P)$  is satisfiable.
3. If  $\text{pol}(\psi, p) = 0$ , then  $\psi[\phi]_p$  is satisfiable if and only if  $\psi[P]_p \wedge (P \leftrightarrow \phi)$  is satisfiable.

# Renaming

**SimpleRenaming**  $\phi \Rightarrow_{\text{SimpRen}} \phi[P_1]_{p_1}[P_2]_{p_2} \dots [P_n]_{p_n} \wedge$   
 $\text{def}(\phi, p_1, P_1) \wedge \dots \wedge \text{def}(\phi[P_1]_{p_1}[P_2]_{p_2} \dots [P_{n-1}]_{p_{n-1}}, p_n, P_n)$   
 provided  $\{p_1, \dots, p_n\} \subset \text{pos}(\phi)$  and for all  $i, i + j$  either  $p_i \parallel p_{i+j}$  or  
 $p_i > p_{i+j}$  and the  $P_i$  are different and new to  $\phi$

Simple choice: choose  $\{p_1, \dots, p_n\}$  to be all non-literal and non-negation positions of  $\phi$ .

# Renaming Definition

$$\text{def}(\psi, p, P) := \begin{cases} (P \rightarrow \psi|_p) & \text{if } \text{pol}(\psi, p) = 1 \\ (\psi|_p \rightarrow P) & \text{if } \text{pol}(\psi, p) = -1 \\ (P \leftrightarrow \psi|_p) & \text{if } \text{pol}(\psi, p) = 0 \end{cases}$$

## Obvious Positions

A smaller set of positions from  $\phi$ , called *obvious positions*, is still preventing the explosion and given by the rules:

(i)  $p$  is an obvious position if  $\phi|_p$  is an equivalence and there is a position  $q < p$  such that  $\phi|_q$  is either an equivalence or disjunctive in  $\phi$  or

(ii)  $pq$  is an obvious position if  $\phi|_{pq}$  is a conjunctive formula in  $\phi$ ,  $\phi|_p$  is a disjunctive formula in  $\phi$ ,  $q \neq \epsilon$ , and for all positions  $r$  with  $p < r < pq$  the formula  $\phi|_r$  is not a conjunctive formula.

A formula  $\phi|_p$  is conjunctive in  $\phi$  if  $\phi|_p$  is a conjunction and  $\text{pol}(\phi, p) \in \{0, 1\}$  or  $\phi|_p$  is a disjunction or implication and  $\text{pol}(\phi, p) \in \{0, -1\}$ .

Analogously, a formula  $\phi|_p$  is disjunctive in  $\phi$  if  $\phi|_p$  is a disjunction or implication and  $\text{pol}(\phi, p) \in \{0, 1\}$  or  $\phi|_p$  is a conjunction and  $\text{pol}(\phi, p) \in \{0, -1\}$ .

# Polarity Dependent Equivalence Elimination

**ElimEquiv1**  $\chi[(\phi \leftrightarrow \psi)]_p \Rightarrow_{\text{ACNF}} \chi[(\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)]_p$   
 provided  $\text{pol}(\chi, p) \in \{0, 1\}$

**ElimEquiv2**  $\chi[(\phi \leftrightarrow \psi)]_p \Rightarrow_{\text{ACNF}} \chi[(\phi \wedge \psi) \vee (\neg\phi \wedge \neg\psi)]_p$   
 provided  $\text{pol}(\chi, p) = -1$

# Extra $\top$ , $\perp$ Elimination Rules

<b>ElimTB7</b>	$\chi[\phi \rightarrow \perp]_p \Rightarrow_{\text{ACNF}} \chi[\neg\phi]_p$
<b>ElimTB8</b>	$\chi[\perp \rightarrow \phi]_p \Rightarrow_{\text{ACNF}} \chi[\top]_p$
<b>ElimTB9</b>	$\chi[\phi \rightarrow \top]_p \Rightarrow_{\text{ACNF}} \chi[\top]_p$
<b>ElimTB10</b>	$\chi[\top \rightarrow \phi]_p \Rightarrow_{\text{ACNF}} \chi[\phi]_p$
<b>ElimTB11</b>	$\chi[\phi \leftrightarrow \perp]_p \Rightarrow_{\text{ACNF}} \chi[\neg\phi]_p$
<b>ElimTB12</b>	$\chi[\phi \leftrightarrow \top]_p \Rightarrow_{\text{ACNF}} \chi[\phi]_p$

where the two rules ElimTB11, ElimTB12 for equivalences are applied with respect to commutativity of  $\leftrightarrow$ .

# Advanced CNF Algorithm

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1 **Algorithm: 3**  $\text{acnf}(\phi)$

**Input** : A formula  $\phi$ .

**Output** A formula  $\psi$  in CNF satisfiability preserving to  $\phi$ .

:

2 **whilerule** ( $\text{ElimTB1}(\phi), \dots, \text{ElimTB12}(\phi)$ ) **do** ;

3 ;

4 **SimpleRenaming**( $\phi$ ) on obvious positions;

5 **whilerule** ( $\text{ElimEquiv1}(\phi), \text{ElimEquiv2}(\phi)$ ) **do** ;

6 ;

7 **whilerule** ( $\text{ElimImp}(\phi)$ ) **do** ;

8 ;

9 **whilerule** ( $\text{PushNeg1}(\phi), \dots, \text{PushNeg3}(\phi)$ ) **do** ;

10 ;

11 **whilerule** ( $\text{PushDisj}(\phi)$ ) **do** ;

:

**return**  $\phi$ ;

