

How To Cut Your Cake ... Approximately

Paper: **Fair and Efficient Cake Division with Connected Pieces**
(WINE 2019)

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CISPA Helmholtz Center for Information Security

Understanding the Problem

You have a Cake!



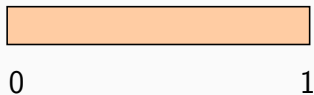
Image by jemastock on Freepik

You have a Cake!



A Computer Scientist's Cake

You have a Cake!



- In Mathspeak : $[0, 1] \subset \mathbb{R}$
- Currently unallocated

You have a Cake!



- In Mathspeak : $[0, 1] \subset \mathbb{R}$
- Currently unallocated

There are n Agents!



The Agents love Cake!



$$v_r : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$



$$v_g : \mathcal{I} \mapsto \mathbb{R}$$

Valuations



$$v_m : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$



$$v_o : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$



$$v_b : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$

The Agents love Cake!



$$v_r : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$



$$v_g : \mathcal{I} \mapsto \mathbb{R}$$

Heterogeneous

Valuations



$$v_m : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$



$$v_o : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$



$$v_b : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$

The Agents are Reasonable!



$$v_m : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$

Say Hi to Agent **Magenta**!

The valuation function v_m is

- Non-negative
- Normalized
- Divisible
- Additive

The Agents are Reasonable!



$$v_m : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$

Say Hi to Agent **Magenta**!

The valuation function v_m is

- **Non-negative**

$$\forall I \in \mathcal{I}[0, 1], v_m(I) \geq 0$$

- Normalized
- Divisible
- Additive

The Agents are Reasonable!



$$v_m : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$

Say Hi to Agent **Magenta**!

The valuation function v_m is

- Non-negative
- **Normalized**
 $v_m([0, 1]) = 1$
- Divisible
- Additive

The Agents are Reasonable!



$$v_m : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$

Say Hi to Agent **Magenta**!

The valuation function v_m is

- Non-negative
- Normalized

- **Divisible**

For every interval $I = [x, y]$
and $\lambda \in (0, 1)$

There is a subinterval

$$I' = [x, y'] \subseteq I$$

$$\text{s.t. } v_m(I') = \lambda v_m(I)$$

- Additive



The Agents are Reasonable!



$$v_m : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$

Say Hi to Agent **Magenta**!

The valuation function v_m is

- Non-negative
- Normalized
- Divisible
- **Additive**

For every pair of disjoint intervals I and J ,

$$v_m(I \sqcup J) = v_m(I) + v_m(J)$$



The Agents are Reasonable!



$$v_m : \mathcal{I}[0, 1] \mapsto \mathbb{R}$$

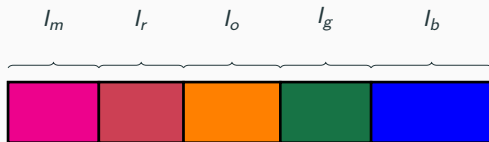
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The valuation function v_m is

- **Non-negative**
- **Normalized**
- **Divisible**
- **Additive**

Goal

Split the cake into contiguous pieces. One per agent.

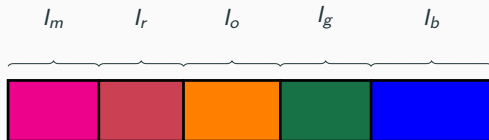


An allocation

- *Fairly*
- *Efficiently*

Goal

Split the cake into contiguous pieces. One per agent.



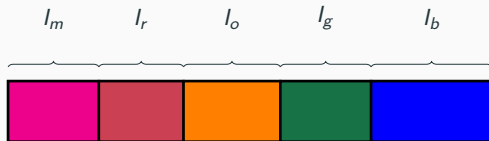
An allocation

- *Fairly*
- *Efficiently*

But How?

Goal

Split the cake into contiguous pieces. One per agent.

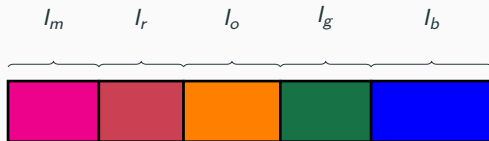


An allocation

- *Fairly* **Envy Freeness (EF)**
- *Efficiently* **Nash Social Welfare (NSW)**

Goal

Split the cake into contiguous pieces. One per agent.



An allocation

- *Fairly* Approximate **Envy Freeness (EF)**
- *Efficiently* Approximate **Nash Social Welfare (NSW)**

A Quick Recap

Fairness Notion : Envy Freeness

- Exact Envy freeness
- c -Additive Approximate Envy Freeness ($c > 0$)
- α -Approximate Envy Freeness ($\alpha > 1$)



- Exact Envy freeness

$$\forall a, b, v_a(l_a) \geq v_a(l_b)$$

- c -Additive Approximate Envy Freeness ($c > 0$)
- α -Approximate Envy Freeness ($\alpha > 1$)



- Exact Envy freeness
- c -Additive Approximate Envy Freeness ($c > 0$)

$$\forall a, b, v_a(I_a) \geq v_a(I_b) - c$$

- α -Approximate Envy Freeness ($\alpha > 1$)

Fairness Notion : Envy Freeness

- Exact Envy freeness
- c -Additive Approximate Envy Freeness ($c > 0$)
- α -Approximate Envy Freeness ($\alpha > 1$)

$$\forall a, b, v_a(I_a) \geq \frac{v_a(I_b)}{\alpha}$$

Efficiency Notion : Maximise Nash Social Welfare (NSW)

- NSW of an allocation A :

$$NSW(A) = (\prod_{a \in Agents} v_a(I_a^A))^{1/n}$$

- Exact NSW objective : Find allocation A such that
- α -NSW approximation objective : Find an allocation A such that



Efficiency Notion : Maximise Nash Social Welfare (NSW)

- NSW of an allocation A :
- Exact NSW objective : Find allocation A such that

$$NSW(A) = \sup_{A' \in \text{Allocations}} NSW(A')$$

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Efficiency Notion : Maximise Nash Social Welfare (NSW)

- NSW of an allocation A :
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$$NSW(A) \geq \frac{1}{\alpha} \left(\sup_{A' \in \text{Allocations}} NSW(A') \right)$$

Our Model

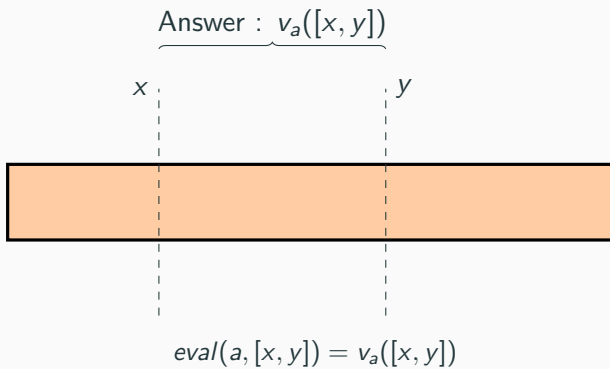
An oracle with two types of queries

- $eval(a, [x, y])$
- $cut(a, x, \alpha)$

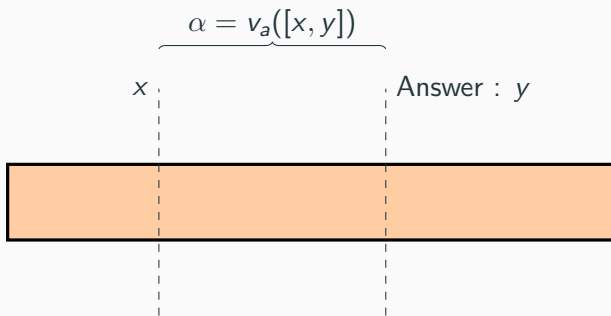
An oracle with two types of queries

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- $cut(a, x, \alpha)$

Robertson-Webb Model: Eval Query



Robertson-Webb Model : Cut Query



Cut query $cut(a, x, \alpha)$ returns y such that $v_a([x, y]) = \alpha$

What's on the Menu Today

- An Efficient algorithm for $(3 + o(1))$ -*EF* allocations
- $(3 + o(1))$ -*NSW* allocation.
- $(2 + o(1))$ - *EF* allocation.
- Briefly mention some of the excluded results.

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If time permits...



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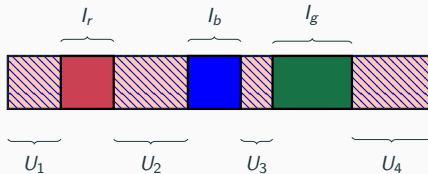
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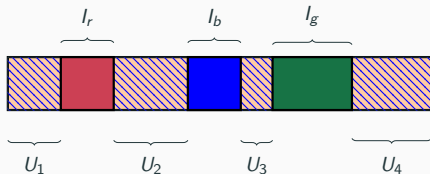


**Computing $(3 + o(1))$ -approx EF
allocations**

An example allocation : Some useful terms and notation



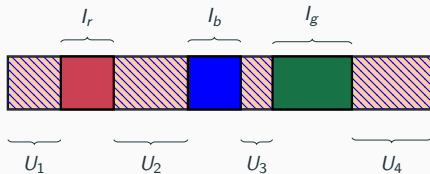
An example allocation : Some useful terms and notation



Unallocated intervals

$$\mathcal{U} := \{U_1, U_2, U_3, U_4\}$$

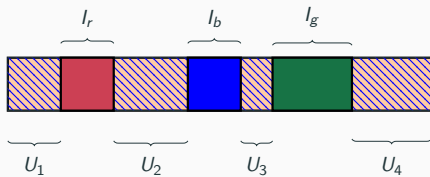
An example allocation : Some useful terms and notation



Allocated Intervals

$$\mathcal{A} := \{I_r, I_b, I_g\}$$

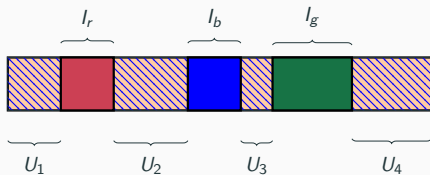
An example allocation : Some useful terms and notation



Intervals

$$\mathcal{I} := \mathcal{A} \cup \mathcal{U}$$

An example allocation : Some useful terms and notation

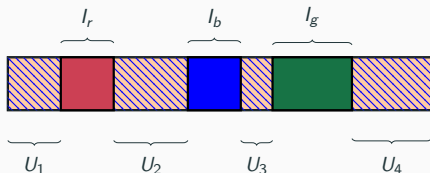


δ -additive envy

Agent $x \in \{r, g, b\}$ δ -additively envies interval $X \in \mathcal{I}$ if

$$v_x(I_x) < v_x(X) - \delta$$

Warmup Questions



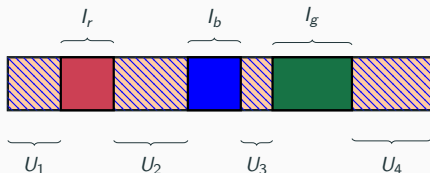
Questions

Suppose $|\mathcal{A}| = n$.

- An upper bound on $|\mathcal{U}|$?
- An upper bound on $|\mathcal{I}|$?



Warmup Questions

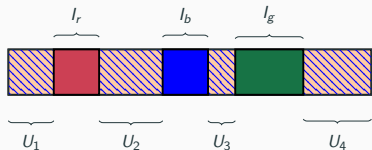


Questions

Suppose $|\mathcal{A}| = n$.

- An upper bound on $|\mathcal{U}|$? $n + 1$
- An upper bound on $|\mathcal{I}|$? $2n + 1$

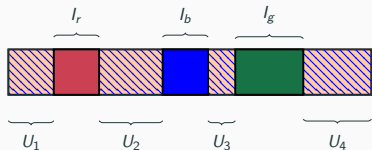
A nice example allocation: Are we done?



Suppose for a $\delta(n) > 0$ which we choose later

1. No agent δ -additive envies any other agent's allocation.
2. No agent δ -additive envies any $X \in \mathcal{U}$.

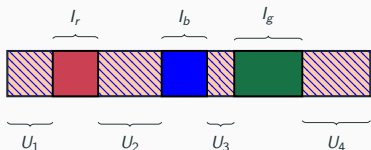
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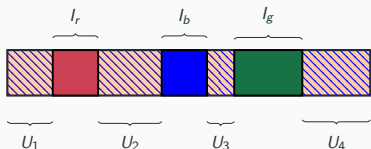
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Question

Can some agent r improve its value by more than δ if it swaps I_r with some $U_i \in \mathcal{U}$?



A nice example allocation: Are we done?



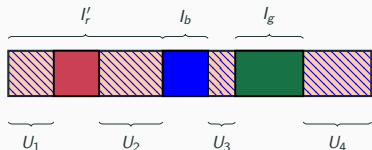
Suppose for a $\delta(n) > 0$ which we choose later

1. No agent δ -additive envies any other agent's allocation.
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Answer

No. By definition of δ -additive envy and assumption 2.

A nice example allocation: Are we done?



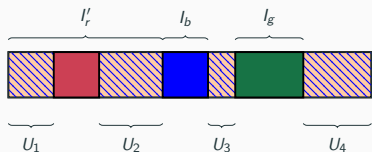
Suppose

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Another Question

Suppose agent r 's allocation is expanded from I_r to I'_r (see figure). Upto what additive/multiplicative factors can any other agent b , be envy-free of r .

A nice example allocation: Are we done?



Suppose

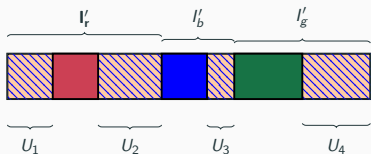
1. No agent δ -additive envies any other agent's allocation.
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Answer

Clearly $I'_r := U_1 \sqcup I_r \sqcup U_2$. Thus for any other agent s , using the assumptions and additivity of valuations,

$$3v_s(I_s) + 3\delta \geq v_s(I'_r)$$

A nice example allocation: Are we done?



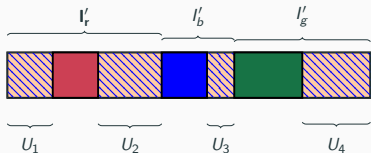
Suppose

1. No agent δ -additive envies any other agent's allocation.
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Another Question

And if all unassigned intervals are arbitrarily assigned in a similar manner?

A nice example allocation: Are we done?



Suppose

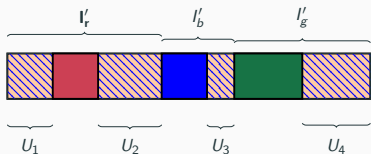
1. No agent δ -additive envies any other agent's allocation.
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Answer

Yes. For all agents r and s ,

$$\begin{aligned} 3v_s(I'_s) + \delta &\geq 3v_s(I_s) + \delta \\ &\geq v_s(I'_r) \end{aligned}$$

A nice example allocation: Are we done?



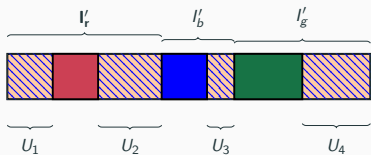
Suppose

1. No agent δ -additive envies any other agent's allocation.
2. No agent δ -additive envies any $X \in \mathcal{U}$.

Almost

There is still that extra additive term of δ . We need more clues

A nice example allocation: Are we done?



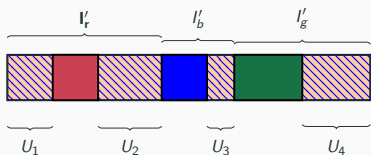
Suppose

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Another Question

What is the minimum value of allocation an arbitrary agent s gets?

A nice example allocation: Are we done?



Suppose

1. No agent δ -additive envies any other agent's allocation.
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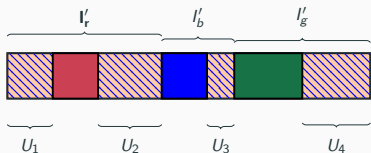
Answer

Can you see that the below is implied by assumption 1 and 2?

$$\forall X \in \mathcal{I}, v_s(I_s) + \delta \geq v_s(X)$$

Let's sum up this inequality over each $X \in \mathcal{I}$.

A nice example allocation: Are we done?



Suppose

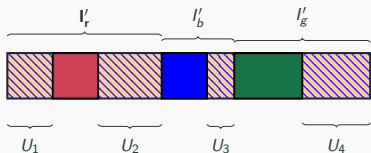
1. No agent δ -additive envies any other agent's allocation.
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Answer(Contd)

Here's the result

$$(2n + 1)v_s(I_s) \geq 1 - 2n\delta$$

A nice example allocation: Are we done?



Suppose

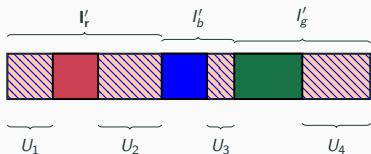
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Answer(Contd)

Using $v_s(I'_s) > v_s(I_s)$

$$(2n + 1)v_s(I'_s) \geq 1 - 2n\delta$$

A nice example allocation: Are we done?



Suppose

1. No agent δ -additive envies any other agent's allocation.
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Answer(Contd): Allocations have min value

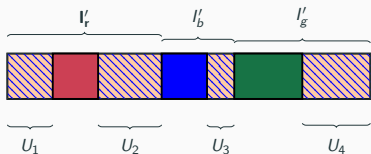
Simplify the above with $\delta = \Omega(1/n^2)$ to get

$$3n\delta v_s(I'_s) > \delta$$

for sufficiently large n depending on the constant.



A nice example allocation: Are we done?



Suppose

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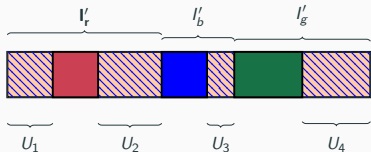
Answer(Contd) : Multiplicative Approx

Now we can turn the additive δ in $3v_s(I'_s) + \delta \geq v_s(I'_r)$ into a multiplicative form.

$$(3 + n\delta)v_s(I'_s) \geq v_s(I'_r)$$

Thus δ must be chosen as $\Omega(1/n^2)$

Now we are done ... almost



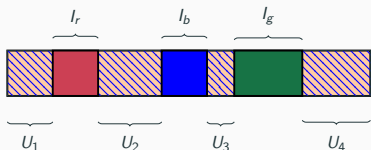
Suppose

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Procedure NICE-ALLOC

By assigning unallocated intervals to adjacent agents by arbitrary choice in a nice allocation, we get a $(3 + o(1))$ -approximate EF allocation.

About those assumptions...



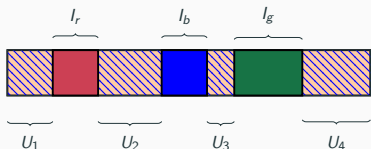
Suppose

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Question

How trivial is it to produce an allocation satisfying assumptions 1 and/or 2?

About those assumptions...



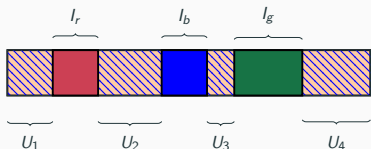
Suppose

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Question

How about assumption 1 alone?

About those assumptions...



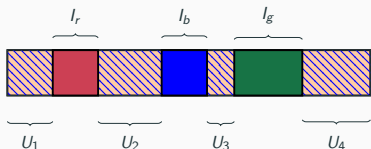
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Answer

Easy : Give all agents the empty interval

About those assumptions...



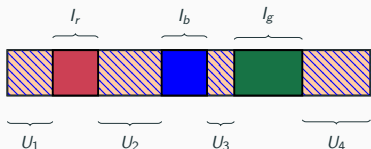
Suppose

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Question

And Assumption 2?

About those assumptions...



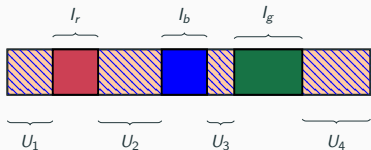
Suppose

1. No agent δ -additive envies any other agent's allocation.
2. No agent δ -additive envies any $X \in \mathcal{U}$.

Answer

Not so easy...

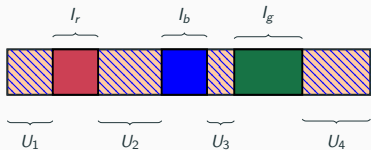
When Only Assumption 1 Holds



Suppose

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When Only Assumption 1 Holds



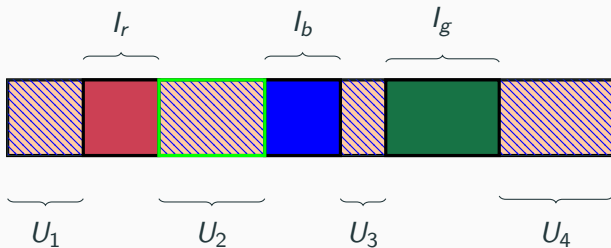
Suppose

1. No agent δ -additive envies any other agent's allocation.

Meaning?

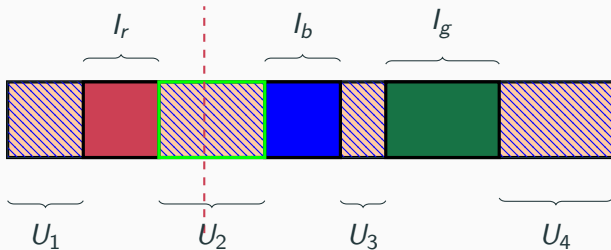
There is some $U \in \mathcal{U}$ say U_2 , and some agents (say r and b), for whom U is at least δ units more valuable than their own.

Allocating an unallocated interval U_2



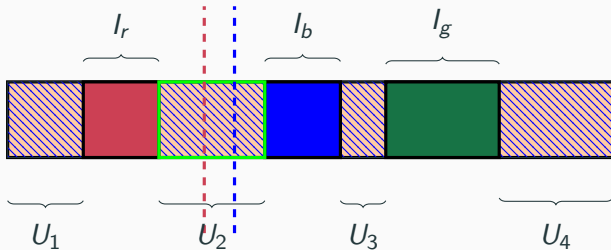
U_2 is δ -additively envied by r and b

Allocating an unallocated interval U_2



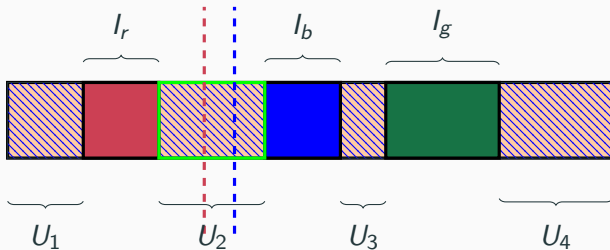
Red's claim is revealed

Allocating an unallocated interval U_2



Blue's claim is also revealed

Allocating an unallocated interval U_2

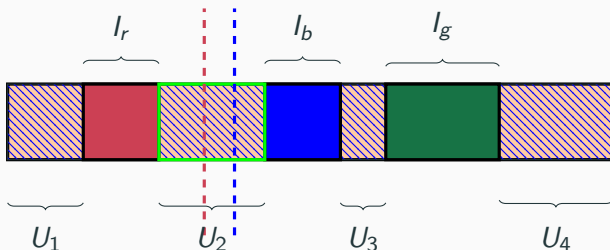


Blue's claim is also revealed

Question

Who's claim should we choose to allow

Allocating an unallocated interval U_2



Blue's claim is also revealed

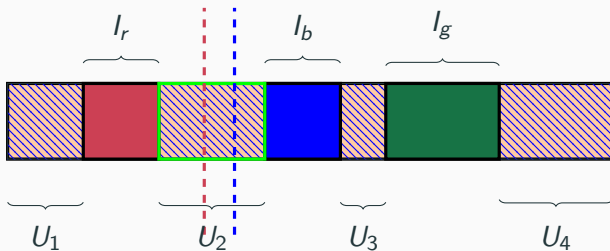
Question

Who's claim should we choose to allow

Consideration

The new allocation must respect δ -additive envy freeness of agents.

Allocating an unallocated interval U_2

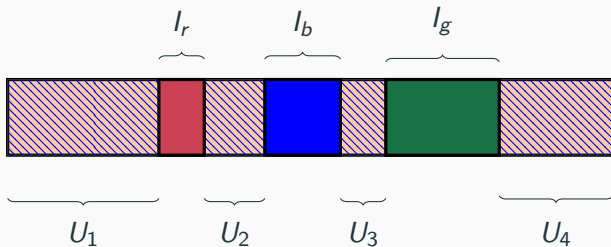


Blue's claim is also revealed

Answer

We honour red's claim. Discuss!

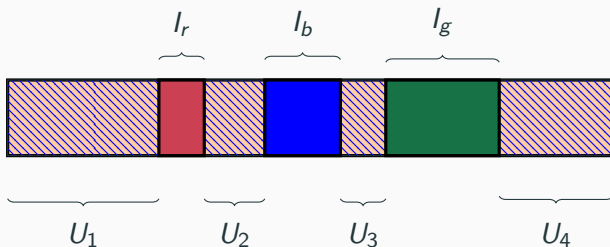
Allocating an unallocated interval U_2



r gets its new interval.

Strictly δ units more valuable than before according to v_r

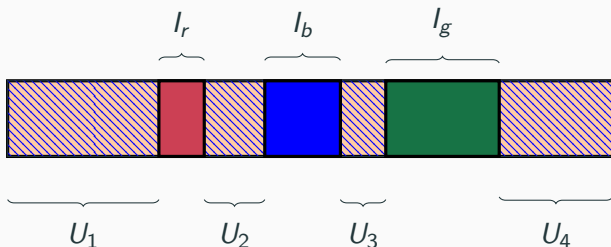
Allocating an unallocated interval U_2



Procedure SATURATE-ALLOC

If an unassigned interval is δ -additive envied by one or more agents, allocate the least envious agent, the exact amount to satisfy its δ -additive envy.

Allocating an unallocated interval U_2

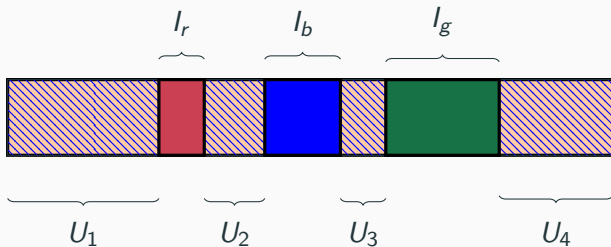


Question

How many times can SATURATE-ALLOC be run starting from an empty allocation?



Allocating an unallocated interval U_2



Answer

$O(n^3)$ times. Why?

- Start with empty allocation.

What's Applicable

- Agents are δ -additive envy free of each other.
- If there is an unallocated interval and an agent who δ -additive envies it, then, run SATURATE-ALLOC
- After $O(1/n^3)$ steps, there are no more unallocated intervals to assign.
- Use procedure NICE-ALLOC.



Putting it all together: Procedure ALG

- Start with empty allocation.
- If there is an unallocated interval and an agent who δ -additive envies it, then, run SATURATE-ALLOC

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What's Applicable

- Agents remain δ -additive envy free of each other.
 - Agents are now δ -additive envy free of all unallocated intervals
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- Use procedure NICE-ALLOC.



Putting it all together: Procedure ALG

- Start with empty allocation.
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- After $O(1/n^3)$ steps, there are no more unallocated intervals to assign.
- Use procedure NICE-ALLOC.

What's Applicable

- All agents are $(3 + o(1))$ -envy free
- The algorithm has terminated in $O(n^3)$ steps.



**Computing $(3 + o(1))$ -approx NSW
allocations**

$(3 + o(1))$ -NSW allocation for free

Claim

The allocation computed by procedure ALG is a $(3 + o(1))$ -NSW allocation

Recall Nash Social Welfare

For an allocation A of the cake $[0, 1]$ to n agents, with agent a getting interval N_a ,

$$NSW(A) := \left(\prod_{a \in \text{Agents}} v_a(N_a) \right)^{1/n}$$



$(3 + o(1))$ -NSW allocation for free

Claim

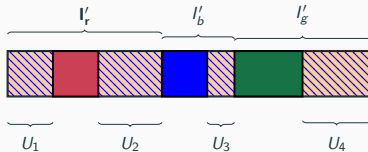
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Proof Sketch : Take two allocations

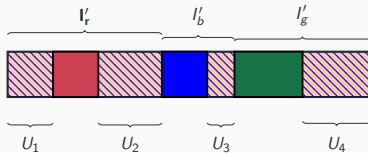


A nice allocation A computed by ALG before NICE-ALLOC is applied



An Optimal NSW allocation A^* that ALG doesn't know of

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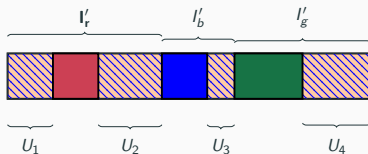


An Optimal NSW allocation A^* that ALG doesn't know of

Question

How would you estimate $NSW(A^*)$ from A ?

Proof Sketch : Take two allocations



A nice allocation A computed by ALG before NICE-ALLOC is applied

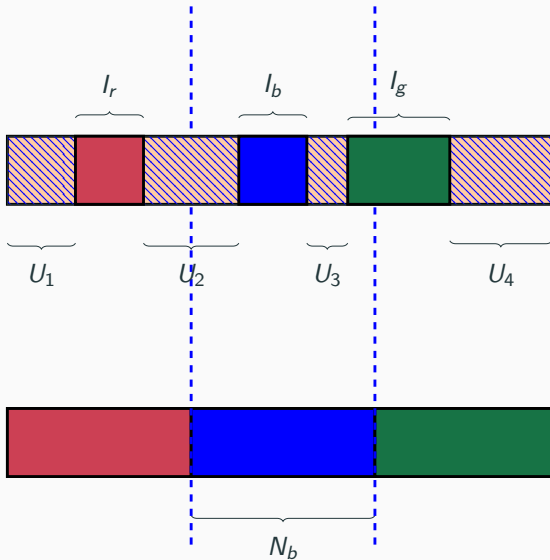


An Optimal NSW allocation A^* that ALG doesn't know of

Hint

Both allocations are being done on the same cake.

Proof Sketch : Approximate one with the other



Proof Sketch : Approximate one with the other

- N_b is covered by U_2 , I_b , U_3 , and I_g .
- We already have for any X :

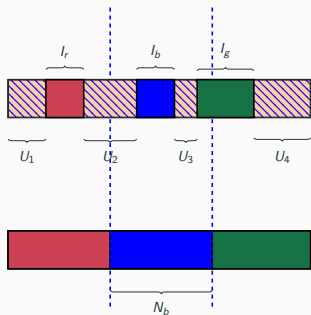
$$v_b(I_b) \geq v_b(X) - \delta$$

- Recall that allocations have minimum value:

$$3n\delta v_b(I_b) > \delta$$

- Thus taken over all covering intervals

$$v_b(I_b) \geq 3(1 + 3\delta)v_b(X)$$



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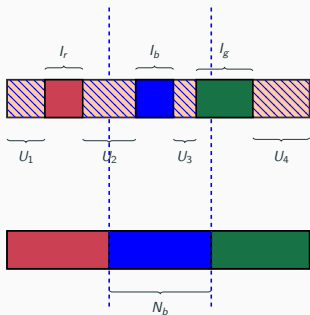
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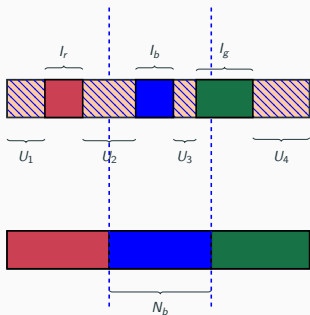
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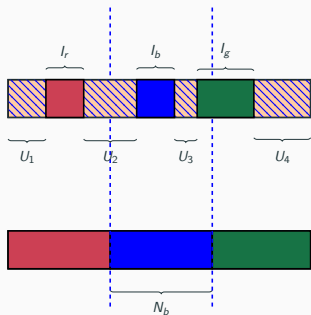
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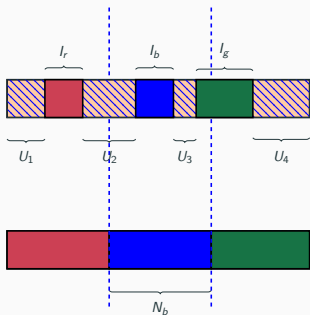
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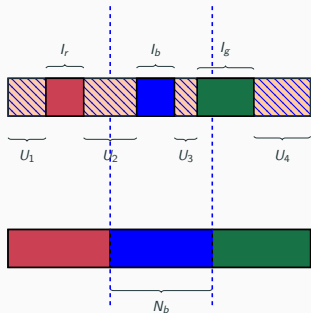
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Proof Sketch : Approximate one with the other



Observation

If the A^* allocation for agent r , N_r , spans k_r A -allocated intervals,

$$k_a v(l'_a)(1 + 3\delta) \geq v(N_a)$$

Remember this

$$k_r v_r(l'_r)(1 + 3\delta) \geq v(N_r)$$

$$NSW(A^*) \leq (1 + 3\delta) \left(\prod_{r \in \text{Agents}} v_r(l'_r) \right)^{1/n} \left(\prod_{r \in \text{Agents}} k_r \right)^{1/n}$$

Remember this

$$k_r v_r(I'_r)(1 + 3\delta) \geq v(N_r)$$

$$\begin{aligned} NSW(A^*) &\leq (1 + 3\delta) \left(\prod_{r \in \text{Agents}} k_r\right)^{1/n} \left(\prod_{r \in \text{Agents}} v_r(I'_r)\right)^{1/n} \\ &\leq (1 + 3\delta) \left(\prod_{r \in \text{Agents}} k_r\right)^{1/n} NSW(A) \end{aligned}$$

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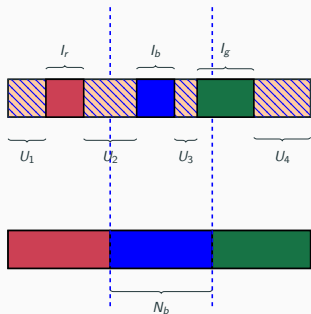
$$\begin{aligned} NSW(A^*) &\leq (1 + 3\delta) (\prod_{r \in \text{Agents}} k_r)^{1/n} NSW(A) \\ &\leq (1 + 3\delta)(3 + o(1))NSW(A) \\ &\text{if } (\prod_{r \in \text{Agents}} k_r)^{1/n} = 3 + o(1) \text{ and } \delta = o(1) \end{aligned}$$

Bounding the Geometric Mean of k_r 's

Observation

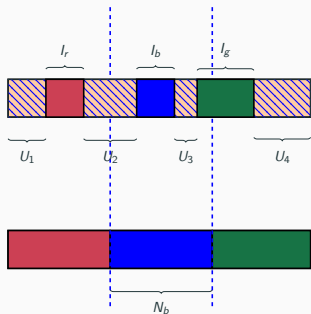
After applying AM-GM inequality, it suffices to bound the AM of k_r 's

Bounding the Arithmetic Mean of k_r 's



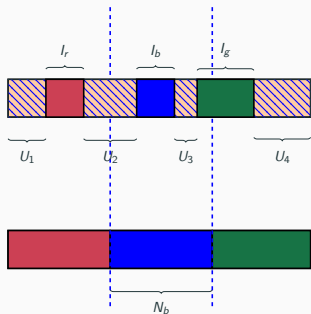
- At most $2n + 1$ intervals in A .
- At most $n - 1$ intervals appear in the covers of two adjacent NSW intervals in A^* .
- Conclusion : $\sum_{r \in Agents} k_r < 3n + 1$
- Conclusion $AM(k_r's) = 3 + o(1)$.

Bounding the Arithmetic Mean of k_r 's



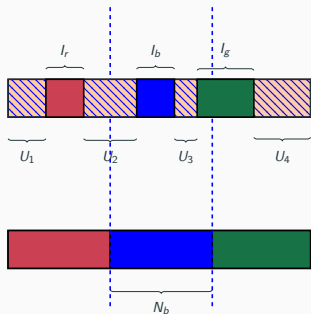
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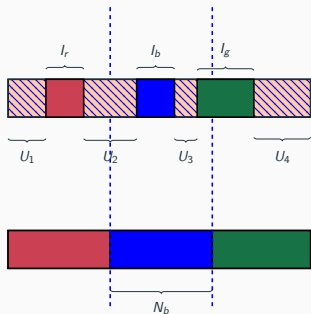
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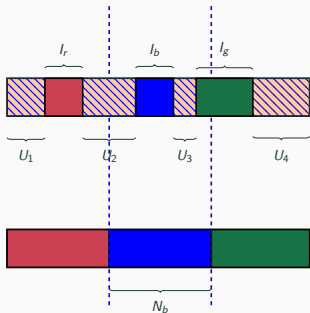
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Bounding the Arithmetic Mean of k_r 's



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Conclusion : Free $(3 + o(1))$ -Approx NSW



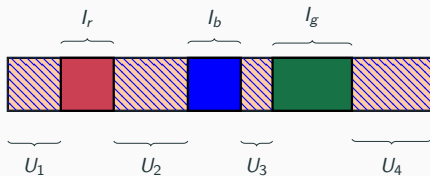
Conclusion

$$NSW(A^*) \leq (3 + o(1))NSW(A)$$

**Computing $(2 + o(1))$ – approx EF
allocations**

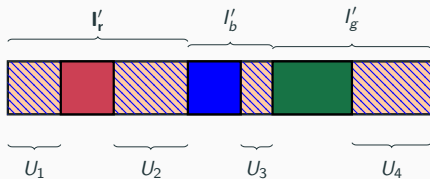
Look back at $(3 + o(1))$ -EF

First we construct a nice δ -additive envy free allocation like below.



Look back at $(3 + o(1))$ -EF

Then we use procedure NICE-ALLOC to assign the unallocated intervals to neighbours



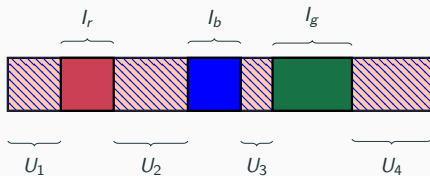
The source of our 3-factor approx

Let's rollback a bit to before we apply NICE-ALLOC.



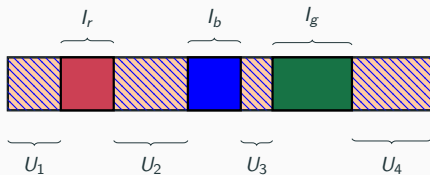
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Recall : There can be $n + 1$ unallocated intervals

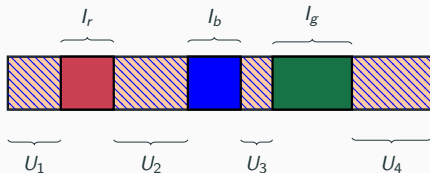
The source of our woes

At least one agent can get 2 unallocated intervals in NICE-ALLOC



The source of our 3-factor approx

Let's rollback a bit to before we apply NICE-ALLOC.



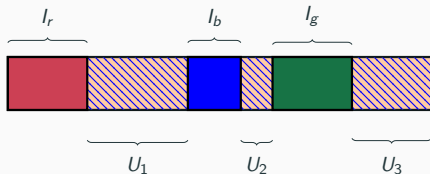
Question

Can we ensure that there are only n unallocated intervals in SATURATE-ALLOC

When do we get $\leq n$ unallocated blocks

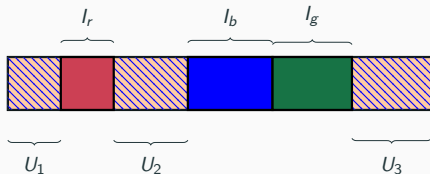


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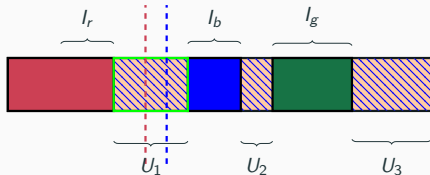
Case 1 : One or more allocations are along the edge

When do we get $\leq n$ unallocated blocks



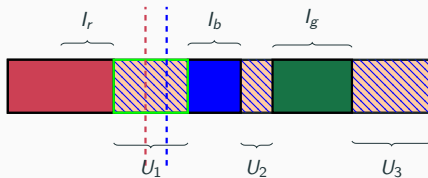
Case 2 : There are adjacent allocations

Adapting SATURATE-ALLOC



Case 1 : How should the green unallocated interval be allocated

Adapting SATURATE-ALLOC

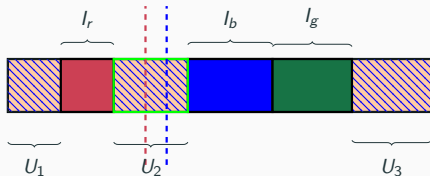


Case 1 : How should the green unallocated interval be allocated

Solution

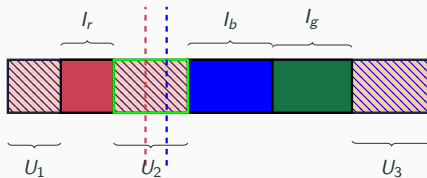
Cut from the right of the green interval

Adapting SATURATE-ALLOC



Case 2 : How should the green unallocated interval be allocated

Adapting SATURATE-ALLOC



Case 2 : How should the green unallocated interval be allocated

Solution

Cut from the right of the green interval

Conclusion

We can use ALG to obtain a $(2 + o(1))$ -approx EF allocation if: whenever allocating a cut of an interval from the left produces $(n + 1)$ unallocated intervals, we allocate a cut from the right.

Things left unsaid

- Even without ALG, if you get an α -
- It is hard to do much better than constant factor approximation of envy freeness for contiguous cake Division. No PTAS expected.
- The results on NSW can be generalised to other kinds of central measures.
- Exact max NSW allocation is hard to compute.

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Thank You
